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APPLICATION NO.	APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/894,391	09/894,391 06/28/2001		Michael Epstein	US 010314	6445	
24737	7590	09/26/2007	·	EXAM	INER	
PHILIPS INTELLECTUAL PROPERTY & STANDARDS P.O. BOX 3001						
BRIARCLIFF MANOR, NY 10510				ART UNIT	PAPER NUMBER	

DATE MAILED: 09/26/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

## Notification of Non-Compliant Appeal Brief (37 CFR 41.37) Application No. 09/894,391 EPSTEIN, MICHAEL Examiner Lan-Dai Thi Truong --The MAILING DATE of this communication appears on the cover sheet with the correspondence address- The Appeal Brief filed on 06/22/2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37

The Appeal Brief filed on <u>06/22/2007</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.** 

1. 🔯	The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. 🗌	The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3.	At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. 🗵	(a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. 🗌	The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))
6. 🗌	The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7.	The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8.	The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner <b>and relied upon by appellant in the appeal</b> , along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9.	The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR $41.37(c)(1)(x)$ ).
10.🖂	Other (including any explanation in support of the above items):
	See Continuation Sheet.

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9/20/7

## Continuation Sheet (PTOL-462)

Continuation of 10. Other (including any explanation in support of the above items): in section "status of amendments" of the Appeal Brief: providing a statement of the status of any amendment filed subsequent to final rejection, e.g. "No amendments have been filed subsequent to the final rejection"

in section "Summary of claimed subject matter" of the Appeal Brief: providing a concise explanation of the subject matter defined in each independent claims involved in the appeal; the concise explanation (for each limitation) must refer to the specification by page and line number, and to the drawing by reference characters (ex: "a verifier for determining an authorization to a process protected material, based on one or more responses to one or more request"; in figure 3, specification page 4, lines 27-67; page 5, lines 1-9)

the correction for proper heading is requested; (ex: replacing "Ground of Rejection To Be Reviewed" to "Ground of rejection to be reviewed on appeal")

To avoid dismissal of the appeal, applicant must file an amended brief/ appropriate correction (see MPEP 1205.03)

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lan-Dai Thi Truong whose telephone number is 571-272-7959. The examiner can normally be reached on Monday- Friday from 8:30am to 5:00 pm.

09/20/2007